

FEE TRANSMITTAL FOR FY 2006

12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 180.00

| Complete if Known: | | |
|----------------------|------------------|--|
| Application No. | 10/807,775 | |
| Filing Date | March 23, 2004 | |
| First Named Inventor | Glenn W. Gengel | |
| Examiner Name | Thomas J. Mullen | |
| Art Unit | 2612 | |
| Attaman Daalest Ma | 02404 D064 | |

Applicant claims small entity status. See 37 CFR 1.27.

| METH | OD OF F | PAYMENT (check all that app | ly) | | |
|------|-------------------------|------------------------------------|-----------------------------|------------------|--------------------------|
| X | _ Check | Credit Card | Money Order _ | None | Other (please identify) |
| Х | _ Depos | it Account | | | |
| | | Deposit Account Number: | <u>02-2666</u> | | |
| | | Deposit Account Name: | | | |
| X | The Dir | ector is Authorized to do the fe | ollowing with respect t | o the above-ide | ntified Deposit Account: |
| | | Charge fee(s) indicated below | v | | • |
| | <u>x</u> | Charge any additional fee(s) or u | | ring the pendenc | y of this application. |
| | | Charge fee(s) indicated below | v except for the filing for | ee | • |
| | $\overline{\mathbf{x}}$ | Credit any overpayments. | | | |
| | <u>X</u> | Any concurrent or future reply the | | | |

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Large | Entity | Small | Entity | | | |
|-------|--------|-------|--------|------------------------------------|--------------|----------------|
| Fee | Fee | Fee | Fee | | | |
| Code | (\$) | Code | (\$) | Fee Description | | Fees Paid (\$) |
| 1011 | 300 | 2011 | 150 | Utility application filing fee | | |
| 1111 | 500 | 2111 | 250 | Utility search fee | 1,000/500 | |
| 1311 | 200 | 2311 | 100 | Utility examination fee | • | |
| 1012 | 200 | 2012 | 100 | Design application filing fee | | |
| 1112 | 100 | 2112 | 50 | Design search fee | 430/215 | |
| 1312 | 130 | 2312 | 65 | Design examination fee | 100.2.10 | |
| 1013 | 200 | 2013 | 100 | Plant filing fee | | |
| 1113 | 300 | 2113 | 150 | Plant search fee | 660/330 | |
| 1313 | 160 | 2313 | 80 | Plant examination fee | | |
| 1004 | 300 | 2004 | 150 | Reissue filing fee | | |
| 1114 | 500 | 2114 | 250 | Reissue search fee | 1,400/700 | |
| 1314 | 600 | 2314 | 300 | Reissue examination fee | | |
| 1005 | 200 | 2005 | 100 | Provisional application filing fee | | |
| | | | | | SUBTOTAL (1) | \$ 0.00 |

2. EXCESS CLAIM FEES

| | Extra Claims | | Fee from below | | Fees Paid (\$) |
|------------------------------------|---|---|----------------|---|----------------|
| | - 20 or HP = | X | \$50.00 | = | |
| HP = highest number of total clair | ns paid for, if greater than 20 | | | | |
| Independent Claims | -3 or HP = | X | \$200.00 | = | |
| HP = highest number of independ | dent claims paid for, if greater than 3 | | | | |
| Multiple Dependent Claims | , , , | | | = | |

| Large | <u>Entity</u> | Small I | Entity | |
|-------|---------------|---------|---------------|--|
| Fee | Fee | Fee | Fee | |
| Code | (\$) | Code | (\$) | Fee Description |
| 1202 | 50 | 2202 | 25 | Each claim over 20 |
| 1201 | 200 | 2201 | 100 | Each independent claim over 3 |
| 1203 | 360 | 2203 | 180 | Multiple dependent claims, if not paid |
| 1204 | 200 | 2204 | 100 | Reissue: each claim over 20 and more than in the original patent |
| 1205 | 50 | 2205 | 25 | Reissue: each independent claim more than in the original patent |

SUBTOTAL (2) \$ ____0.00

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total S | Sheets | | <u>Extra</u> | Sheets | of each add'l ection thereof | | | Fee from below | Fees paid (\$) |
|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------------|-------------------------------------|-----------|---|-----------------|--|
| | | – 100 = | = | /50 = | _ (round up to whole | e number) | X | <u>\$250.00</u> | |
| <u>Large</u> Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | | | | | | group of 50 sheets ces & program listings): |
| 1081 1082 1083 1084 | 250 250 250 250 | 2081 2082 2083 2084 | 125 125 125 125 | Utility Design Plant Reissue | | | | | |

SUBTOTAL (3) \$ 0.00

FEE CALCULATION (continued) 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Small Entity Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** 1051 Surcharge - late filing fee or oath 130 2051 65 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for ex parte reexamination 8,800 1813 1813 8,800 Request for inter parties reexamination 1804 920* 920* 1804 Requesting publication of SIR prior to Examiner action 1805 1.840* 1805 1,840* Requesting publication of SIR after Examiner action 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1.020 2253 510 Extension for reply within third month 1254 1.590 2254 795 Extension for reply within fourth month 1255 2,160 2255 1.080 Extension for reply within fifth month 1401 500 2401 250 **Notice of Appeal** 1402 500 2402 250 Filing a brief in support of an appeal 1403 1,000 2403 500 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 500 2452 250 Petition to revive – unavoidable 1453 1,500 2453 750 Petition to revive - unintentional 1501 700 1,400 2501 Utility issue fee (or reissue) 1502 800 2502 400 Design issue fee 1503 550 Plant issue fee 1100 2503 1462 400 400 Petitions to the Commissioner (CFR 1.17(f) Group I) 1462 1463 1463 200 200 Petitions to the Commissioner (CFR 1.17(g) Group II) 1464 130 1464 130 Petitions to the Commissioner (CFR 1.17(h) Group III) Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 1806 180 1806 180 **Submission of Information Disclosure Stmt** \$180.00 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 1809 790 2809 395 For filing a submission after final rejection (see 37 CFR 1.129(a)) 1814 130 2814 65 Statutory Disclaimer 1810 790 2810 395 For each additional invention to be examined (see 37 CFR 1.129(b)) 790 395 1801 2801 Request for Continued Examination (RCE) 1802 1802 900 900 Request for expedited examination of a design application 1504 300 1504 300 Publication fee for early, voluntary, or normal pub. 1505 300 1505 300 Publication fee for republication 1803 130 1803 130 Request for voluntary publication or republication Processing fee under 37 CFR 1.17(i) (except provisionals) 1808 130 1808 130 1454 1,370 1454 1,370 Acceptance of unintentionally delayed claim for priority Other fee (specify) Other fee (specify) SUBTOTAL (4) \$ 180.00 *Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Arthur T.C. Au Signature: Date: Reg. Number: L0294 **Telephone Number:** <u>408-720-8300</u>

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Attorney's Docket No. 03424.P061



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Patent Application of: |) |
|--|-----------------------------|
| Glenn W. Gengel et al. | Examiner: Mullen, Thomas J. |
| Application No.: 10/807,775 |) Art Unit: 2612 |
| Filed: March 23, 2004 |)) |
| For: RFID TAGS AND PROCESSES FOR PRODUCING RFID TAGS |))) |
| | |

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

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| class mail with sufficient postage in an enve | | nmissioner for Patents | s, P.O. Box |
| 1450, Alexandria, Virginia 22313-1450 on _ | | 93/22/2007 MBLANCO | 00000001 10807775 |
| 01 | (Date of Deposit) | D4 FD-100/ | 10 00 00) |
| Shannon Tinsley | - 11 ² | 01 FC:1806 | 180.60 OF |
| (Typed or printed name of person ma | alling correspondence) | | |
| Sturlen | 3/19/01 | | |
| (Signature of person mailing corresp | ondence) | | |

has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

| | 37 C.F.R. §1.97(b). |
|-----|---|
| X | 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following: |
| | A statement pursuant to 37 C.F.R. §1.97(e) or |
| _X_ | A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p). |
| | 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following: |

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: _____≥

/19/0/

Arthur T.C. Au Reg. No. L0294

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

| Substitute | for Form 1449 | PTO | | Complete | if Known | | |
|-----------------------|---------------|-------|---|--------------------------------|--|--|--|
| , | INFOR | ΣΝΛΔ. | TION DISCLOSUK | Application Number | 10/807,775 | | |
| | | | 1 | 0 | Filing Date | March 23, 2004 | |
| | STATI | EME | NT BY APPLICAN | MAR 2 1 2007 | First Named Inventor: | Glenn W. Gengel | |
| | | | many sheets as necessary) | Art Unit | 2612 | | |
| | | | | Examiner Name | Mullen, Thomas J. | | |
| Sheet | 1 | | of | 1 | Attorney Docket Number | 03424.P061 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| Examiner Initials* | Cite No.¹ | Numb | Document Number er-Kind Code ² (If known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | | US- | 6,514,790 | 02/04/2003 | Plettner | | |
| | A | US- | 6,523,734 | 02/25/2003 | Kawai | | |
| | | US- | 2003/0036249 | 02/20/2003 | Bauer | | |
| | | US- | 2004/0089408 | 05/13/2004 | Brod | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | W. W. W. | | |

| Cite | Foreign Patent Document | | 1 *** *** *** *** *** *** | | |
|------|---|-----------------------------------|--|--|----|
| No.¹ | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T⁵ |
| | WO 02/49093 | 6/20/2002 | Stromberg et al. | | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|-------------------------|--|----------------|
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
| | | | |
| | | | |
| | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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